SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>Serruya Michael</u>					<u>ON</u>	2. Issuer Name and Ticker or Trading Symbol <u>ONE Group Hospitality, Inc.</u> [STKS]									ationship of F all applicab Director		Person(s) to Issuer) 10% Ov		
(Last)	(First)	(M	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 12/30/2022									Officer (give title below)			Other (specif below)		
1624 MARKET ST STE 311					4. lf A	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) DENVER CO 80202			202												Form file	d by More	than O	ne Reportin	ng Person	
(City)	(State)	(Zi	(Zip)																	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date				Transaction te onth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)					I. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar			Beneficiall Following		Form:	nership Direct (D) irect (I) 4)	7. Nature of Indirect Beneficial Ownership		
										v	Amount		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)	
Common Stock 12/3				30/202	0/2022			Α	A 2,480		0	Α	\$6.3	218,236			D			
Common Stock													147,712			Ι	By MOS Holdings, Inc.			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	1000000000000000000000000000000000000			ate, Transaction Code (Instr.			5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amoun Securities Underlyi Derivative Security (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	e	Amount or Number of Shares	Imber		1011(3)			

Explanation of Responses:

/s/ Christi Hing, Attorney-in-Fact 01/04/2023

** Signature of Reporting Person Da

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.